



RECEIVED

TECH CENTER 1600/2900 I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

lanuary 28, 2002 Date

Gina N. Shishima

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yu

Group Art Unit: 1647

Serial No.: 09/841,720

Examiner: Unknown

Filed: April 24, 2001

Atty. Dkt. No.: INDA:002USD1

For: MU OPIOID RECEPTOR METHODS (as

amended)

## STATEMENT AS REQUIRED UNDER 37 C.F.R. § 1.825(a) AND (b) AND STATEMENT AS REQUIRED UNDER 37 C.F.R. § 1.821(g)

### **BOX SEQUENCE**

Commissioner for Patents Washington, D.C. 20231

### Commissioner:

Submitted herewith is a substitute computer readable form and a substitute paper copy of the sequence listing of those sequences in the captioned patent application, wherein minor errors have been corrected. The substitute computer readable form of the sequence listing is the same as the substitute paper copy of the sequence listing. The sequence information provided in the Specification is also the same as the sequence listing of the enclosed substitute computer readable and paper forms of the sequence listing.

In accordance with 37 C.F.R. § 1.821(g), it is herewith represented that no new matter is included with this submission.

Respectfully submitted,

Gina N. Shishima Reg. No. 45,104

Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201 (512) 536-4598 (facsimile)

Date:

January 28, 2002



#### SEQUENCE LISTING

TECH CENTER 1600/2900

<110> YU, LEI

<120> MU OPIOID RECEPTOR: COMPOSITIONS AND METHODS

<130> INDA:002USD1

<140> 09/841,720

<141> 2001-04-24

<150> 08/120,601

<151> 1993-09-13

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Gly Asn Thr Ser Asp Cys Ser Asp Pro Leu Ala Gln Ala Ser Cys Ser
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cca gca cct ggc tcc tgg ctc aac ttg tcc cac gtt gat ggc aac cag

Pro Ala Pro Gly Ser Trp Leu Asn Leu Ser His Val Asp Gly Asn Gln

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Leu Cys Pro Gln Thr Gly Ser Pro Ser Met Val Thr Ala Ile Thr Ile

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_	_												gag Glu			906	
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_		_				_	_	_					agg Arg			1050	
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:	aac agc tgc ctg aat cca gtt ctt tac gcc ttc ctg gat gaa aac ttc 1242 Asn Ser Cys Leu Asn Pro Val Leu Tyr Ala Phe Leu Asp Glu Asn Phe 330 335 340
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• •

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Val Gly Leu Phe Gly Asn Phe Leu Val Met Tyr Val Ile Val Arg Tyr 85 90 95

Thr Lys Met Lys Thr Ala Thr Asn Ile Tyr Ile Phe Asn Leu Ala Leu 100 105 110

Ala Asp Ala Leu Ala Thr Ser Thr Leu Pro Phe Gln Ser Val Asn Tyr 115 120 125

Leu Met Gly Thr Trp Pro Phe Gly Thr Ile Leu Cys Lys Ile Val Ile 130 135 140

Ser Ile Asp Tyr Tyr Asn Met Phe Thr Ser Ile Phe Thr Leu Cys Thr 145 150 155 160

Met Ser Val Asp Arg Tyr Ile Ala Val Cys His Pro Val Lys Ala Leu 165 170 175

Asp Phe Arg Thr Pro Arg Asn Ala Lys Ile Val Asn Val Cys Asn Trp 180 185 190

Ile Leu Ser Ser Ala Ile Gly Leu Pro Val Met Phe Met Ala Thr Thr 195 200 205

Lys Tyr Arg Gln Gly Ser Ile Asp Cys Thr Leu Thr Phe Ser His Pro 210 215 220

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cac caa aat gaa gac tgc cac caa cat cta cat ttt caa cct tgc tct 548
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55 60 65 70

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His Phe Gln Pro Cys Ser Gly Arg Arg Leu Ser Asp Gln Tyr Thr Ala 65 70 75 80

Leu Ser Glu Cys Gln Leu Pro Asp Gly Asn Met Ala Leu Arg Asn His
85 90 95

Pro Leu Gln Asp Arg Asp Leu Asn Arg Leu Leu Gln His Val His Gln
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His Ile His Pro Leu His His Glu Arg Gly Pro Leu His Cys Cys Leu 115 120 125

Pro Pro Ser Gln Ser Pro Gly Phe Pro Tyr Pro Pro Lys Cys Gln Asn 130 135 140

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Asn Val His Gly Asn His Lys Ile Gln Ala Gly Val His Arg Leu His
165 170 175

Pro His Val Leu Pro Pro Asn Leu Val Leu Gly Glu Pro Ala Gln Asn 180 185 190

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- Lys Thr Ala Thr Asn Ile Tyr Ile Phe Asn Leu Ala Leu Ala Asp Ala 85 90 95
- Leu Ala Thr Ser Thr Leu Pro Phe Gln Ser Val Asn Tyr Leu Met Glu
  100 105 110
- Thr Trp Pro Phe Gly Glu Leu Leu Cys Lys Ala Val Leu Ser Ile Asp 115 120 125
- Tyr Tyr Asn Met Phe Thr Ser Ile Phe Thr Leu Thr Met Met Ser Val 130 135 140
- Asp Arg Tyr Ile Ala Val Cys His Pro Val Lys Ala Leu Asp Phe Arg 145 150 155 160
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- Ser Gly Val Gly Val Pro Ile Met Val Met Ala Val Thr Gln Pro Arg 180 185 190
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- Trp Asp Thr Val Thr Lys Ile Cys Val Phe Ile Phe Ala Phe Val Val 210 215 220
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- Ile Asn Arg Arg Asp Pro Leu Val Val Ala Ala Leu His Leu Cys Ile 290 295 300
- Ala Leu Gly Tyr Ala Asn Ser Ser Leu Asn Pro Val Leu Tyr Ala Phe 305 310 315 320
- Leu Asp Glu Asn Phe Lys Arg Cys Phe Arg Gln Leu Cys Arg Thr Pro

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Tyr Val Ile Leu Arg Tyr Ala Lys Met Lys Thr Ala Thr Asn Ile Tyr 85 90 95

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Phe Leu Val Thr Ser Thr Leu Leu Arg His Trp Pro Phe Gly Ala Leu 115 120 125

Leu Cys Arg Leu Val Leu Ser Val Asp Ala Tyr Asn Met Phe Thr Ser 130 135 140

Ile Tyr Cys Leu Thr Val Leu Ser Val Asp Arg Tyr Val Ala Val Val 145 150 155 160

His Pro Ile Lys Ala Ala Arg Tyr Arg Arg Pro Thr Val Ala Lys Val

Val Asn Leu Gly Val Trp Val Leu Ser Leu Leu Val Ile Leu Pro Ile 180 185 190

Val Val Phe Ser Arg Thr Ala Ala Asn Ser Asp Gly Thr Val Ala Cys 195 200 205 Asn Met Leu Met Pro Glu Pro Ala Gln Arg Trp Leu Val Gly Phe Val 210 215 220

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Leu Cys Tyr Val Leu Ile Ile Ala Lys Met Arg Met Val Ala Leu Lys 245 250 255

Ala Gly Trp Gln Gln Arg Lys Arg Ser Glu Arg Lys Ile Thr Leu Met 260 265 270

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Ile Leu Cys Leu Ser Trp Met Asp Asn Ala Ala Glu Glu Pro Val Asp 340 345 350

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Ile Tyr Val Ile Leu Arg Tyr Ala Lys Met Lys Thr Ile Thr Asn Ile 65 70 75 80

Tyr Ile Leu Asn Leu Ala Ile Ala Asp Glu Leu Phe Met Leu Gly Leu 85 90 95

Pro Phe Leu Ala Met Gln Val Ala Leu Val His Trp Pro Phe Gly Lys 100 105 110

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Ser Ile Phe Cys Leu Thr Val Met Ser Ile Asp Arg Tyr Leu Ala Val 130 135 140

Val His Pro Ile Lys Ser Ala Lys Trp Arg Arg Pro Arg Thr Ala Lys 145 150 155 160

Met Ile Asn Val Ala Val Trp Gly Val Ser Leu Leu Val Ile Leu Pro 165 170 175

Ile Met Ile Tyr Ala Gly Leu Arg Ser Asn Gln Trp Gly Arg Ser Ser 180 185 190

Cys Thr Ile Asn Trp Pro Gly Glu Ser Gly Ala Trp Tyr Thr Gly Phe 195 200 205

Ile Ile Tyr Ala Phe Ile Leu Gly Phe Leu Val Pro Leu Thr Ile Ile 210 215 220

Cys Leu Cys Tyr Leu Arg Ile Ile Ile Lys Val Lys Ser Ser Gly Ile 225 230 235 240

Arg Val Gly Ser Ser Lys Arg Lys Lys Ser Glu Lys Lys Val Thr Arg

Met Val Ser Ile Val Val Ala Val Phe Ile Phe Cys Trp Leu Pro Phe 260 265 270

Tyr Ile Phe Asn Val Ser Ser Val Ser Val Ala Ile Ser Pro Thr Pro 275 280 285

Ala Leu Lys Gly Met Phe Asp Phe Val Val Ile Leu Thr Tyr Ala Asn 290 295 300

Ser Cys Ala Asn Pro Ile Leu Tyr Ala Phe Leu Ser Asp Asn Phe Lys 305 310 315 320

Lys Ser Phe Gln Asn Val Leu Cys Leu Val Lys Val Ser Gly Ala Glu 325 330 335

Asp Gly Glu Arg Ser Asp Ser Lys Gln Asp Lys Ser Arg Leu Asn Glu 340 345 350

Thr Thr Glu Thr Gln Arg Thr Leu Leu Asn Gly Asp Leu Gln Thr Ser

355 360 365

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January 28, 2002 Date

Gina N. Shishima

TECH CENTER 1608/2900

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yu

Serial No.: 09/841,720

Filed: April 24, 2001

For: MU OPIOID RECEPTOR METHODS (as

amended)

Group Art Unit: 1647

Examiner: Unknown

Atty. Dkt. No.: INDA:002USD1

# RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE DISCLOSURES

### **BOX SEQUENCE**

Commissioner for Patents Washington, DC 20231

### Commissioner:

This paper is submitted in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence Disclosures mailed October 26, 2001, for which the two month date for response was December 26, 2001. A Statement as Required Under 37 C.F.R. § 1.825(a) and (b) and Statement as Required Under 37 C.F.R. § 1.821(g) has been included herewith, including a computer readable form.

A request for a one-month extension of time to respond is included herewith along with the required fee. This one-month extension will bring the due date to January 28, 2002 (since January 26, 2002 falls on a weekend), which is within the six-month statutory period. Should such request or fee be deficient or absent, consider this paragraph such a request and

authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 from Fulbright & Jaworski L.L.P. Account No.: 50-1212/10103856/GNS.

Respectfully submitted,

Gina N. Shishima Reg. No. 45,104

Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201 (512) 536-4598 (facsimile)

Date:

January 28, 2002

25120968.1



### United States Patent and Trademark Office

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/841,720

04/24/2001

Lei Yu

INDA:002USD1

CONFIRMATION NO. 6286

FORMALITIES LETTER

\*OC000000006972971\*

Gina N. Shishima FULBRIGHT & JAWORSKI L.L.P. Suite 2400 600 Congress Avenue Austin, TX 78701

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Date Mailed: 10/26/2001

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202